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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.63(d))

Attorney Docket No. DAMAGE ALERT TAG
First Inventor
Title
Express Mail Label No. ER 517843199 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Applications
Commissioner for Patents
P.O. Box 1480
Alexandria, VA 22313-1480

- ☒ Fee Transmittal Form (e.g., PTO/ER-17)
(Submit an original and a duplicate for fee processing)
Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification (Total Pages 37)
(Preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Prior sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) (Total Sheets 10)
- ☐ Oath or Declaration (Total Sheets 3)
 - ☐ Newly associated (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
- ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☒ 37 CFR 3.73(b) Statement (When there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1448 ☐ Copies of IDS Citations
- ☐ Statement (IDS)/PTO-1448
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 803)
(Should be specifically identified)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/88/35 or its equivalent.
- ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.

Prior application information:

Examiner

Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5a, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation may only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number.

OR ☐ Correspondence address below

Name JASON AUGUST
Address 240 SUTHERLAND DRIVE
City TORONTO State ONTARIO Zip Code M4G 1J3
Country CANADA Telephone 416 723 2008 Fax 203 698 1025
Name (Print/Type) JASON AUGUST Registration No. (Attorney/Agent)
Signature [Signature] Date APRIL 8, 2004

This collection of information is required by 37 CFR 1.63(b). The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16834 U.S. PTO
10/820366

040804

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**646.00**

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

Deposit Account:
Deposit Account Number
Deposit Account Name

The Director is authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-indicated deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(5) 385

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from	Fee Paid
Independent Claims	49	30** = 29	29
Multiple Dependent		3** =	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 200	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 16	2205 8	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

By checking previously paid, I authorize for Refund, see above

3. ADDITIONAL FEES

Fee Code (\$)	Fee Code (\$)	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 80	2052 25	Surcharge - late provisional filing fee or cover sheet
1053 130	2053 130	Non-English specification
1812 2,520	1812 2,520	For filing a request for ex parte reexamination
1804 820*	1804 820*	Requesting publication of SR prior to Examiner action
1806 1,840*	1806 1,840*	Requesting publication of SR after Examiner action
1251 110	2251 68	Extension for reply within first month
1252 420	2252 210	Extension for reply within second month
1253 980	2253 475	Extension for reply within third month
1254 1,480	2254 740	Extension for reply within fourth month
1255 2,010	2255 1,005	Extension for reply within fifth month
1401 330	2401 165	Notice of Appeal
1402 330	2402 165	Filing a brief in support of an appeal
1403 280	2403 145	Request for oral hearing
1451 1,910	1451 1,910	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,380	2453 685	Petition to revive - unintentional
1501 1,330	2501 665	Utility issue fee (or reissue)
1502 480	2502 240	Design issue fee
1603 640	2603 320	Plant issue fee
1450 130	1450 130	Petitions to the Commissioner
1807 80	1807 80	Processing fee under 37 CFR 1.17(g)
1808 180	1808 180	Submission of Information Disclosure Sheet
8021 40	8021 40	Recording each patent assignment per property (lines number of properties)
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.128(a))
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.128(b))
1801 770	2801 385	Request for Continued Examination (RCE)
1802 800	1802 800	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00**

Signature: **JASON AUGUST** Registration No. **416-723-2008**
Date: **APRIL 8, 2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-8203. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Waterhouse et al

Serial no. : To be assigned

Group no. :

Filed: Herewith

Examiner;

For: NETWORKED RF TAG FOR TRACKING FREIGHT

Commissioner of Patents and Trademarks,
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ER 517843199US

Date of Deposit April 8, 2004

I hereby certify that the attached paper or fee:

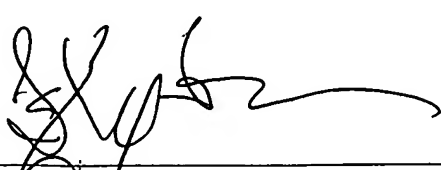
nonprovisional patent application 37 pages (spec, claims, abstract)

patent application cover sheet *& transmittal sheets*
IDS PTO/BB/OBA (replaces 1449/PTO)
10 sheets of informal drawings

check for *\$1* ~~\$686.00~~

assignment
return post card

are being deposited with the United States Postal service "Express Mail post Office to Addressee"
service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop
~~Provisional~~ **PATENT** Application, Commissioner for Patents, P.O. Box 1450, Alexandria,
VA, 22313-1450


Casey P. August

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a **PROVISIONAL APPLICATION FOR PATENT** under 37 CFR 1.53(c).

Express Mail Label No. **ER 517843199 US**

INVENTOR(S)					
Given Name (first and middle (if any))		Family Name or Surname		Residence (City and either State or Foreign Country)	
PAUL WATERHOUSE		WATERHOUSE		COPETOWN, CANADA	
Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (800 characters max) NETWORKED RF TAG FOR TRACKING FREIGHT					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number: _____					
OR					
<input type="checkbox"/> Firm or Individual Name		JASON AUGUST			
Address		240 SUTHERLAND DRIVE			
Address					
City		TORONTO		State	ONTARIO
Country		CANADA		Zip	M4G 1J3
		Telephone	416-723-2008	Fax	203-898-1028
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages 37		<input type="checkbox"/> CD(s), Number _____			
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets 10		<input type="checkbox"/> Other (specify) _____			
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		<div>FILING FEE Amount (\$) Subst 464.60 Asst 40.00 Total 686.00</div>			
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees. <i>to assignment</i>					
<input type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: _____					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

Respectfully submitted,

SIGNATURE *Mr. Jason August*

TYPED or PRINTED NAME **Jason AUGUST**

TELEPHONE **416-723-2008**

(Page 1 of 2)

Date **APRIL 8, 2004**

REGISTRATION NO. _____

(if appropriate)

Bracket Number: _____

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

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